

Improving Access to Psychological Therapies (IAPT) Commissioner Extract Specification Version 1.2



Health & Social Care
Information Centre

Purpose

This document describes the content and format of the two types of extracts produced during processing for providers submitting IAPT data.

Document version history

Version	Date Issued	Brief Summary of Change	Owner's Name
1.0	20/07/2012	First release	Nathan Bell
1.1	07/08/2012	Minor amendments	Nick Bridges
1.2	04/06/2013	Minor text and link changes following health service restructuring.	Aaron Leathley

Note: This document should be read in conjunction with the IAPT Dataset User Guide and Information Standards Notice (ISN) Amd 29/2011.

<http://www.isb.nhs.uk/documents/isb-1520/amd-29-2011/index.html>

Author information

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How to use this document

This document describes the content and format of the extracts produced by the Bureau Service for IAPT Commissioners as well as instructions about how to access these extracts.

How to access Commissioner level extracts

Commissioner extracts are accessed through the Open Exeter Bureau Service Portal, in order to gain access to the portal please follow the following steps:

- I. Go to <https://www.openexeter.nhs.uk/nhsia/index.jsp> and click on the blue box at the bottom that says Caldicott Guardian Register and check that the correct name is given for the Caldicott Guardian for your organisation.
- II. If the name is incorrect or missing, download and complete <http://www.connectingforhealth.nhs.uk/systemsandservices/ssd/prodsvr/caldicottcert.pdf> form to register the correct Caldicott Guardian and send this back to the address on the form.
- III. Once the correct Caldicott Guardian is registered correctly, complete the following online form to request access to Open Exeter for IAPT and send it to the address on the form <http://www.connectingforhealth.nhs.uk/systemsandservices/ssd/prodsvr/bspducform.doc> (NOTE: the address for this form is different to the address referred to in step II.)

NOTE: Shared services commissioners must go through the above process for each commissioner ensuring that each form is signed by the Caldicott Guardian of the corresponding commissioner.

How is IAPT data processed?

Trusts submit data in an Access Intermediate Database (IDB) comprising 4 tables; for more details please refer to the ISN specification of the IDB which trusts submit (<http://www.isb.nhs.uk/documents/isb-1520/amd-29-2011/index.html>). These tables are processed and subject to inclusion rules which extract data relevant to the current Reporting Period. Details of the way IAPT data is processed, including data inclusion rules and data derivations, can be found in IAPT Dataset User Guide (<http://www.hscic.gov.uk/iapt>). The data is processed to produce a final extract per provider, with each final extract consisting of 5 CSV files as detailed below:

- Header - shows which provider data is included in the extract
- Patient - patient information
- Disabilities - disability information, recorded as appropriate
- Referral - a single record for each referral that was open during the reporting period
- Appointment - a single record for each Appointment that occurred in the reporting period

How is your Commissioner level extract constructed?

Those records where your commissioner code is present in the Organisation Code (code of commissioner) field of a submitted IDB will appear in your extract subject to the inclusion criteria applied during processing. Your final extract will be an amalgamation of records from submissions of different providers which quote your commissioner code in this field in their IDB. If data for relevant services you commission from providers does not appear in your extract you should contact the provider to ensure they are recording your organisation code correctly in future submissions.

Submission period:

Providers submit data on a monthly basis. Once the submission deadline for the current Submission Period has passed, the submissions will be processed within one week, after which time your completed commissioner extract will be available for download via the Open Exeter Portal.

Details of the submission timetable are available from: www.hscic.gov.uk/iapt

Refresh and Primary Submissions:

Each provider submission should consist of data for the current Reporting Period; this is known as their Primary Submission and is mandatory for all providers. Providers also have the option of making a Refresh Submission for the previous Reporting Period. As a commissioner, your extract will contain Primary Submissions (for the current Reporting Period) from all providers from which you commission care, and Refresh Submissions (for the previous Reporting Period) for those providers who have chosen to make a Refresh Submission.

Content of your commissioner extract:

A Commissioner Extract for the current Submission Period consists of a primary and refresh zip file, containing primary data (for the current Reporting Period) and refresh data (for the previous Reporting Period) respectively. Each zip file contains 5 CSV files: Header, Patients, Disabilities, Referrals and Appointments.

Note: For Information Governance reasons commissioners do not receive patient identifiable data. Providers do receive identifiable data in their extract as they submitted the original source data, which was identifiable.

The commissioner CSV files contain an amalgamation of data from all providers from which a service was commissioned. Only those providers quoting your Organisation Code (code of commissioner) will appear in your extract. Refresh submissions need to be taken into account to create a final picture of the activity undertaken prior to undertaking analysis.

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Purpose of this document

This document describes the content and format of the extract produced following the submission of IAPT data:

A post-deadline extract is only produced for the 'last good' Primary and Refresh files submitted by providers. The final extract is an output of post-deadline processing, following the closure of the submission window. (Providers can improve a primary submission by making a refresh submission for the same reporting period when the next submission window opens). The refresh submission is the last opportunity to revise or improve data for a particular reporting period.

A Commissioner Extract for the current Submission Period consists of a primary and refresh zip file, containing primary data (for the current Reporting Period) and refresh data (for the previous Reporting Period), where a refresh was submitted. Each zip file contains 5 CSV files: Header, Patients, Disabilities, Referrals and Appointments. These CSV files contain an amalgamation of data from the corresponding CSV files of all those providers from which you commission care. Note only those provider records quoting your Organisation Code (code of commissioner) will appear in your extract; this may not be all of the records in a provider's extract as providers may have multiple commissioners. Also note that only the last good (validated) Primary and Refresh submissions from each provider will be included. The row level content of these files is described below.

Extracts are provided as a set of 5 CSV (comma separated) files - Header CSV, Patients CSV, Disabilities CSV, Referrals CSV and Appointments CSV.

Table Structure Details

CSV File Name - The name of the csv file within which the corresponding data items are included

Access Name - The unique name of the data item within the IAPT Intermediate Database (IDB)

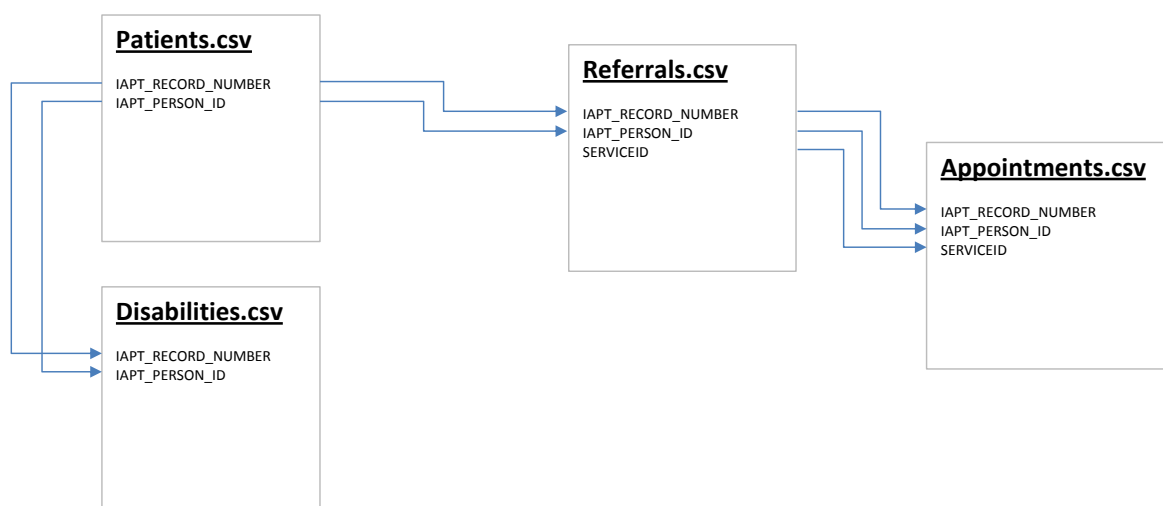
CSV Column Header name - The name of the data item within the data extract csv structure

Data Item Name - The unique title or name of the data item

Format - The format and length of the data item

Submitted/Derived - This is to identify whether the information was submitted as part of the IAPT submission or whether it is derived during processing.

The CSV files link together using IAPT Record Number, IAPT Person ID and in some instances Service ID as demonstrated below.



Note:

> IAPT Record Number is a unique person identifier which supports linkage within the current reporting period, BUT NOT across reporting periods. IAPT Record Number is unique and the same number will not be used for any other patient seen by any other provider in any other reporting period. Each patient will receive a different IAPT Record Number each time they are included in the dataset, whether that be in the same referral period or different referral period.

> IAPT Person ID is a unique pseudonymised person ID which supports linkage across providers and across reporting periods. Subject to the required data quality of key data items (NHS Number, Date of Birth, Local Patient ID and Postcode) a consistent IAPT Person ID will be allocated each time the same patient is included in a submission.

> The data item(s) used for linkage will be determined by the analysis/reporting requirement.

On submission validation rules are described separately, see www.hscic.gov.uk/iapt

CSV File Name	Access Name (IDB)	CSV Column Header Name	Data Item Name	Format	Submitted / Derived
HEADER CSV: One per Primary / Refresh zip file within the Commissioner Extract for the Submission Period. Each header CSV has one row per provider.					
HEADER		FILE_TYPE	Primary or Refresh		Derived
HEADER		END_PROCESSING_DATE	Final process completion Date and Time		Derived
HEADER		TOTAL_RECORDS	Total Record Count of Patient.csv		Derived
HEADER		BSP_UNIQUE_ID	File ID		Derived
HEADER		UPLOAD_DATE	Date and Time file uploaded		Derived
HEADER		START_DATE	Reporting Period Start Date		Derived
HEADER		END_DATE	Reporting Period End Date		Derived
HEADER		PROVIDER_ORG_CODE	Organisation Code (Code of Provider)		Derived

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PATIENTS CSV					
General Rule: Each patient record will only have an entry in the Patients CSV if there is an entry in the Referrals CSV that meets the data inclusion rules for that patient record. Then all the data items for that record in the Patients CSV should be included.					
PATIENTS		START_REPORTING_PERIOD	Reporting Period Start Date	date	Derived
PATIENTS		END_REPORTING_PERIOD	Reporting Period End Date	date	Derived
PATIENTS		IAPT_RECORD_NUMBER	IAPT Record Number (a unique person identifier which supports linkage within the current reporting period, BUT NOT across reporting periods)	an16	Derived
PATIENTS		IAPT_PERSON_ID	IAPT Person ID (unique pseudonymised person ID which supports linkage across providers and across reporting periods)	n8	Derived
PATIENTS	NHSNOSStatus	NHSNOSTATUS	NHS Number Status Indicator Code	an2	Submitted
PATIENTS	OrgCodeProvider	ORGCODEPROVIDER	Organisation Code (Code of Provider)	an5	Submitted
PATIENTS	Gender	GENDER	Person Gender Current	an1	Submitted
PATIENTS	GMPC	GMPC	General Medical Practice Code (Patient	an6	Submitted
PATIENTS	Ethnicity	ETHNICITY	Ethnic Category	an2	Submitted
PATIENTS	Religion	RELIGION	Religious or Other Belief System Affiliation Code	an4	Submitted
PATIENTS	SexualOrientation	SEXUALORIENTATION	Sexual Orientation (current)	an1	Submitted
PATIENTS	BAFIndicator	BAFINDICATOR	Ex- British Armed forces	an2	Submitted
PATIENTS	LTCCondition	LTCCONDITION	Long term physical health conditions indicator (IAPT)	an1	Submitted
PATIENTS		AGE_START_REPORTING_PERIOD	Age at Reporting Period Start Date	n3	Derived
PATIENTS		AGE_END_REPORTING_PERIOD	Age at Reporting Period End Date	n3	Derived
PATIENTS		PCT_OF_RESIDENCE	Organisation Code (PCT of Residence)	an8	Derived
PATIENTS		PCT_OF_GP_PRACTICE	Organisation Code (PCT of GP Practice)	an8	Derived
PATIENTS		ELECT_WARD_OF_USUAL_ADDRESS	Electoral Ward/Division	an6	Derived
PATIENTS		LSOA	Lower Layer Super Output Area (Residence)	an9	Derived
PATIENTS		LAD_UA	Local Authority District/Unitary Authority	an9	Derived
PATIENTS		COUNTY	County	an9	Derived
PATIENTS		POSTCODE_DISTRICT	Postcode district	an9	Derived

CSV File Name	Access Name	CSV Column Header Name	Data Item Name	Format	Submitted / Derived
DISABILITIES CSV					
General Rule: Only Disabilities with an entry for a matching patient record in the Patients CSV can be included.					
DISABILITIES		IAPT_RECORD_NUMBER	IAPT Record Number (a unique person	an16	Derived
DISABILITIES		IAPT_PERSON_ID	IAPT Person ID (unique pseudonymised person ID which supports linkage across providers and across reporting periods)	n8	Derived
DISABILITIES	OrgCodeProvider	ORGCODEPROVIDER	Organisation Code (Code of Provider)	an5	Submitted
DISABILITIES	Disability	DISABILITY	Disability	an2	Submitted

CSV File Name	Access Name	CSV Column Header Name	Data Item Name	Format	Submitted /
REFERRALS CSV					
General Rule: Only Referrals with an entry for a matching patient record in the Patients CSV can be included.					
REFERRALS		IAPT_RECORD_NUMBER	IAPT Record Number (a unique person	an16	Derived
REFERRALS		IAPT_PERSON_ID	IAPT Person ID (unique pseudonymised person ID which supports linkage across providers and across reporting periods)	n8	Derived
REFERRALS	OrgCodeProvider	ORGCODEPROVIDER	Organisation Code (Code of Provider)	an5	Submitted
REFERRALS	ServiceID	SERVICEID	Service Request Identifier	an20	Submitted
REFERRALS	RefRecDate	REFRECDATE	Referral Request Received Date	Date	Submitted
REFERRALS	SourceReferral	SOURCEREFERRAL	Source of Referral for Mental Health	an2	Submitted
REFERRALS	SerReqAcclnd	SERREQACCIND	Service Request Acceptance Indicator	an1	Submitted
REFERRALS	OrgCodeComm	ORGCODECOMM	Organisation Code (Code of Commissioner)	an5	Submitted
REFERRALS	ProvDiag	PROVDIAG	Provisional Diagnosis (ICD)	an6	Submitted
REFERRALS	OnsetDate	ONSETDATE	Year and Month of Symptoms Onset	mm/ccyy	Submitted
REFERRALS	RecurInd	RECURIND	Previous Symptoms Indicator	an1	Submitted
REFERRALS	EndCode	ENDCODE	IAPT Spell End Code	an2	Submitted
REFERRALS	EndDate	ENDDATE	End Date (IAPT)	Date	Submitted
REFERRALS		AGE_AT_REF_RECEIVED_DATE	Age at referral request received date	n3	Derived
REFERRALS		AGE_AT_REF_END_DATE	Age at referral end date	n3	Derived
REFERRALS		DATE_FIRST_ASSESSMENT	Date of first assessment	Date	Derived
REFERRALS		DATE_FIRST_THERAPEUTIC_SESSION	date of first therapeutic session	Date	Derived
REFERRALS		FIRST_PSYCHOTROPIC_INDICATOR	First psychotropic medication usage indicator	an2	Derived
REFERRALS		LAST_PSYCHOTROPIC_INDICATOR	Last psychotropic medication usage indicator	an2	Derived
REFERRALS		FIRST_SICKPAY_INDICATOR	First statutory sick pay indicator	an1	Derived
REFERRALS		LAST_SICKPAY_INDICATOR	Last statutory sick pay indicator	an1	Derived
REFERRALS		FIRST_PHQ9	First score patient health questionnaire	an2	Derived
REFERRALS		LAST_PHQ9	Last score patient health questionnaire	an2	Derived

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REFERRALS		FIRST_GAD	First score generalised anxiety disorder score	an2	Derived
REFERRALS		LAST_GAD	Last score generalised anxiety disorder score	an2	Derived
REFERRALS		FIRST_WASAS	First score work and social adjustment scale score	an2	Derived
REFERRALS		LAST_WASAS	Last score work and social adjustment scale score	an2	Derived
REFERRALS		FIRST_AGORA_MOB_ACCOM	First agoraphobia mobility inventory score (when accompanied)	an3	Derived
REFERRALS		LAST_AGORA_MOB_ACCOM	Last agoraphobia mobility inventory score (when accompanied)	an3	Derived
REFERRALS		FIRST_AGORA_MOB_ALONE	First agoraphobia mobility inventory score (when alone)	an3	Derived
REFERRALS		LAST_AGORA_MOB_ALONE	Last agoraphobia mobility inventory score (when alone)	an3	Derived
REFERRALS		FIRST_AGORA	First agoraphobia score	an1	Derived
REFERRALS		LAST_AGORA	Last agoraphobia score	an1	Derived
REFERRALS		FIRST_PENN_STATE	First generalized anxiety disorder Penn state worry questionnaire score	an2	Derived
REFERRALS		LAST_PENN_STATE	Last generalized anxiety disorder Penn state worry questionnaire score	an2	Derived
REFERRALS		FIRST_ANXIETY_INVENTORY	First health anxiety inventory short-week score	an2	Derived
REFERRALS		LAST_ANXIETY_INVENTORY	Last health anxiety inventory short-week score	an2	Derived
REFERRALS		FIRST_OCD	First obsessive-compulsive disorder inventory score	an3	Derived
REFERRALS		LAST_OCD	Last obsessive-compulsive disorder inventory score	an3	Derived
REFERRALS		FIRST_PANIC_DISORDER	First panic disorder severity scale score	an2	Derived
REFERRALS		LAST_PANIC_DISORDER	Last panic disorder severity scale score	an2	Derived
REFERRALS		FIRST_PSTD	First post-traumatic stress disorder: impacts of events scale - revised score	an2	Derived
REFERRALS		LAST_PSTD	Last post-traumatic stress disorder: impacts of events scale - revised score	an2	Derived
REFERRALS		FIRST_SOCIAL_PHOBIA_INVENTORY	First social phobia inventory score	an2	Derived
REFERRALS		LAST_SOCIAL_PHOBIA_INVENTORY	Last social phobia inventory score	an2	Derived
REFERRALS		FIRST_SOCIAL_PHOBIA	First social phobia score	an1	Derived
REFERRALS		LAST_SOCIAL_PHOBIA	Last social phobia score	an1	Derived
REFERRALS		FIRST_SPECIFIC_PHOBIA	First specific phobia score	an1	Derived
REFERRALS		LAST_SPECIFIC_PHOBIA	Last specific phobia score	an1	Derived
REFERRALS		COUNT_CCBT_SESSIONS	Count of number of cCBT sessions	n2	Derived
REFERRALS		COUNT_PURE_SELF_HELP_SESSIONS	Count of number of pure self help sessions	n2	Derived
REFERRALS		COUNT_GUIDED_SELF_HELP_SESS	Count of number of guided self help sessions	n2	Derived
REFERRALS		COUNT_BEHAVE_ACTIVATION_SESS	Count of number of behavioural activation sessions	n2	Derived
REFERRALS		COUNT_STRUCTURED_EXERCISE_SESS	Count of number of structured exercise sessions	n2	Derived
REFERRALS		COUNT_PSYCOEDUC_GROUP_SESSIONS	Count of number of psychoeducational group sessions	n2	Derived
REFERRALS		COUNT_CBT_SESSIONS	Count of number of CBT sessions	n2	Derived
REFERRALS		COUNT_IPT_SESSIONS	Count of number of IPT sessions	n2	Derived
REFERRALS		COUNT_COUNSELLING_SESSIONS	Count of number of counselling sessions	n2	Derived
REFERRALS		COUNT_COUPLES_THERAPY_SESSIONS	Count of number of behavioural couples therapy sessions	n2	Derived
REFERRALS		COUNT_OTHER_SESSIONS	Count of number of other types of sessions	n2	Derived
REFERRALS		COUNT_COLLABRATIVE_CARE_SESS	Count of number of collaborative care sessions	n2	Derived
REFERRALS		COUNT_DYNAMIC_THERAPY_SESSIONS	Count of number of brief dynamic therapy sessions	n2	Derived
REFERRALS		COUNT_EMPLOYMENT_SUPPORT_SESS	Count of number of employment support sessions	n2	Derived

CSV File Name	Access Name	CSV Column Header Name	Data Item Name	Format	Submitted / Derived
THERE MAY BE MORE THAN ONE OF EACH PER IAPT RECORD					
APPOINTMENTS CSV					
General Rule: Only Appointments with an entry for a matching patient record in the Referrals CSV can be included.					
APPOINTMENTS		IAPT_RECORD_NUMBER	IAPT Record Number (a unique person	an16	Derived
APPOINTMENTS		IAPT_PERSON_ID	IAPT Person ID (unique pseudonomised person ID which supports linkage across providers and across reporting periods)	n8	Derived
APPOINTMENTS	OrgCodeProvider	ORGCODEPROVIDER	Organisation Code (Code of Provider)	an5	Submitted
APPOINTMENTS	ServiceID	SERVICEID	Service Request Identifier	an20	Submitted
APPOINTMENTS	Appointment	APPOINTMENT	Appointment Date	Date	Submitted
APPOINTMENTS	PrimaryRole	PRIMARYROLE	Care Professional Role Code (IAPT)	an2	Submitted
APPOINTMENTS	Attendance	ATTENDANCE	Attended or did not Attend Code	an1	Submitted
APPOINTMENTS	ContactDuration	CONTACTDURATION	Clinical Contact Duration of Appointment	n3	Submitted
APPOINTMENTS	AppType	APPTYPE	Appointment Type (IAPT)	an2	Submitted

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APPOINTMENTS	ConsMedium	CONSMEDIUM	Consultation Medium Used	an2	Submitted
APPOINTMENTS	TherType1	THERTYPE1	Therapy Type for IAPT	an2	Submitted
APPOINTMENTS	TherType2	THERTYPE2	Therapy Type for IAPT	an2	Submitted
APPOINTMENTS	TherType3	THERTYPE3	Therapy Type for IAPT	an2	Submitted
APPOINTMENTS	TherType4	THERTYPE4	Therapy Type for IAPT	an2	Submitted
APPOINTMENTS	EmpStatus	EMPSTATUS	Employment Status	an2	Submitted
APPOINTMENTS	EmpSupportInd	EMPSUPPORTIND	Employment Support Suitability Indicator	an2	Submitted
APPOINTMENTS	EmpSupportReferral	EMPSUPPORTREFERRAL	Employment Support Referral Date	Date	Submitted
APPOINTMENTS	PsychMed	PSYCHMED	Psychotropic Medication Usage	an2	Submitted
APPOINTMENTS	SSPInd	SSPIND	Statutory Sick Pay Indicator	an1	Submitted
APPOINTMENTS	PHQ9Score	PHQ9_SCORE	Patient Health Questionnaire 9 (PHQ-9) Total Score	n2	Submitted
APPOINTMENTS	GAD7Score	GAD7SCORE	Generalised Anxiety Disorder Score	n2	Submitted
APPOINTMENTS	WSASScore	WSASSCORE	Work and Social Adjustment Scale Score	n2	Submitted
APPOINTMENTS	AgoraScoreAcc	AGORASCOREACC	Agoraphobia: Mobility Inventory Score (When Accompanied)	n3	Submitted
APPOINTMENTS	AgoraScoreAlone	AGORASCOREALONE	Agoraphobia: Mobility Inventory Score (When Alone)	n3	Submitted
APPOINTMENTS	AgoraScore	AGORASCORE	Agoraphobia Score	n1	Submitted
APPOINTMENTS	GenAnxScore	GENANXSCORE	Generalised Anxiety Disorder Penn State Worry Questionnaire Score	n2	Submitted
APPOINTMENTS	HealthAnxScore	HEALTHANXSCORE	Health Anxiety Inventory Short-Week Score	n2	Submitted
APPOINTMENTS	OCDScore	OCDSCORE	Obsessive-Compulsive Disorder Inventory Score	n3	Submitted
APPOINTMENTS	PanicScore	PANICSCORE	Panic Disorder Severity Scale Score	n2	Submitted
APPOINTMENTS	PTSDScore	PTSD_SCORE	Post-Traumatic Stress Disorder: Impacts of Events Scale - Revised Score	n2	Submitted
APPOINTMENTS	SocPhobiaInvScore	SOCPHOBIAINVSCORE	Social Phobia Inventory Score	n2	Submitted
APPOINTMENTS	SocPhobiaScore	SOCPHOBIA SCORE	Social Phobia Score	n1	Submitted
APPOINTMENTS	SpecPhobiaScore	SPECPHOBIA SCORE	Specific Phobia Score	n1	Submitted