

PHS1 NHS Pharmaceutical Services Collection: Guidance for the Annual Collection

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Introduction

This collection covers information on general NHS pharmaceutical services (provided by community pharmacies, dispensing doctors and appliance contractors) on behalf of the Department of Health. The information is published annually in a statistical bulletin. All bulletins, including the latest, can be found by clicking on the link below.

Publications: [General Pharmaceutical Services in England](#)

Background

The PHS1 is a mandatory collection which has been approved by the Burden Advice and Assessment Service (BAAS) formerly Review of Central Returns (ROCR). This approval is for an annual mandatory collection from Region (geography) organisations. The BAAS reference is R01044. It collects general pharmaceutical services data on behalf of DH and assists the Department in monitoring overall pharmaceutical provision. The data provided is used in the annual 'General Pharmaceutical Services' bulletin which is published by NHS Digital (the trading name for The Health and Social Care Information Centre).

Further information regarding BAAS can be found at <http://content.digital.nhs.uk/baas>

The BAAS team are keen to receive feedback on central data collections from the colleagues who complete/submit returns. In particular, around the length of time data collections take to complete and any issues, suggested improvements or duplication of data collections.

Feedback can be submitted to BAAS using the [Data Collection Burden Reduction Service referral form](#) which is available online.

Changes to 2016/17 Collection

- 1) Addition to Section 1; Openings, Closures and Consolidations of Community Pharmacies to include
 - a) Number of consolidations - resulting in new premises.
 - b) Number of consolidations - to existing premises.

- 2) Removal of 2 questions in Section 2: Number of Local Pharmaceutical Services (LSP) contracts:-
 - i) Number of Essential Small Pharmacy (ESP) LPS contracts and
 - ii) Number of other LPS contracts

The remaining question is "Total number of LPS contracts"

Collection Guidance

Section 1: Openings, Closures and Consolidations of Community Pharmacies between 1 April and 31 March of reporting year

Please note: a figure of zero (0) or greater must be entered into each field of Section 1.

1 April to 31 March of reporting year

Valid Openings, Closures and Consolidations of Community Pharmacies

What to include as valid opening, closure or consolidation:

- A brand new contractor opening brand new premises
- Any contractor ceasing to provide services permanently
- The number of closures as a result of a consolidation
- Consolidations resulting in new premises
- Consolidations to existing premises

Do not include:

- Change ownerships
- Right of return
- Closures arising from relocations

Information on the total number of community pharmacies at 31 March and their provision of essential/advanced services will be obtained from NHS Prescription Services.

Section 1a: Provision of Enhanced Services - 1 April to 31 March of reporting year

Please note: a figure of zero (0) or greater must be entered into each field of Section 1a.

Please input the total number of all pharmacies providing each enhanced service.

In column 1 include *all* pharmacies who have gone through a formal commissioning procedure with NHS England to provide enhanced services.

Do **not** include pharmacies that have agreed to provide such services but are not being paid to provide these services by NHS England.

Column 2 is for pharmacies commissioned by NHS England on behalf of Local Authorities to provide Enhanced Services only. Include only pharmacies that have gone through a formal commissioning procedure with NHS England on behalf of Local Authorities to provide local enhanced services.

The enhanced services collected are the 20 listed in the Drug Tariff Part VIC – Advanced Services (Pharmacy and Appliance Contractors)(England) Part 4 enhanced services pharmacy contractors only see <http://www.nhsbsa.nhs.uk/PrescriptionServices/4941.aspx>

Section 2: Numbers of local pharmaceutical services (LPS) contracts as at 31 March

Please note: a figure of zero (0) or greater must be entered into this field.

Number of Local Pharmaceutical Services (LPS) Contractors at 31 March

- Number of all LPS contracts.

Section 3: Applications decisions relating to Pharmaceutical Services Regulations between 1 April – 31 March of reporting year

Please note: a figure of zero (0) or greater must be entered into each field of Section 3.

This is the most complicated part of the PHS1 form. It is extremely important that the data provided here is as accurate as possible.

- Only include full decisions made at NHS England level prior to any decisions made on appeal.
- Do not include appeals data here. This information is collected separately from the NHS Litigation Authority Family Health Services Appeal Unit (FHSAU).
- Do not include applications which were incorrectly completed, returned to the applicant for amendment or re-completion and subsequently not returned back to NHS England for due consideration, or where the applicant was ineligible.
- For each section there is an area for new premises and an area for additional premises
- Additional premises are those where the applicant has stated on the application that they already have a premises within the Health and Wellbeing Board area where the new application is being made.
- Outstanding are applications that have been received but no decision has been made as at 31 March

Section 3(i): Pharmacies

Please note: a figure of zero (0) or greater must be entered into each field of Section 3(i).

Columns C through L relate to applications for pharmacy premises in controlled localities: reserve locations (Reg. 41(2)/42(1) [appealed under Reg. 45(1) (c)(d)]).

Columns M through Q relate to applications for pharmacy premises in non-controlled localities

Rows 1 to 15 New Premises

Rows 16 to 30 Additional Premises

The rows of Section 3(i) relate to the following regulations:

Row	Description	Regulation (2012, 2013, 2014, 2015, 2016 regulations)	Appeal regulation (if different)
Rows 1 & 16	Routine application – current needs	Reg. 13(1), 13(2)	Schedule 2, 30, 35
Rows 2 & 17	Routine application – future needs	Reg. 15(2)	Schedule 2, 30, 35
Rows 3 & 18	Routine application – improvements or better access	Reg. 17(2)	Schedule 2, 30, 35
Rows 4 & 19	Routine application – future improvements or better access	Reg. 20(2)	Schedule 2, 30, 35
Rows 5 & 20	Routine application – unforeseen benefits	Reg. 18(2)	Schedule 2, 30, 35
Rows 6 & 21	Excepted application - additional services	Reg. 23	
Rows 7 & 22	Excepted application - relocation within a Health and Wellbeing Board area that does not result in significant change	Reg. 24	Schedule 2, 30, 35
Rows 8 & 23	Excepted application - relocation into a neighbouring Health Wellbeing Board area that does not result in significant change	Reg. 24	Schedule 2, 30, 35
Rows 9 & 24	Excepted application - distance selling premises	Reg. 25	Schedule 2, 30, 35
Rows 10 & 25	Excepted application - change of ownership	Reg. 26	Schedule 2, 30, 35
Rows 11 & 26	Excepted application - combined change of ownership and relocation that does not result in significant change	Reg. 24, 26	Schedule 2, 30, 35
Rows 12 & 27	Excepted application - temporary listing arising out of a suspension	Reg. 27	Schedule 2, 30, 35
Rows 13 & 28	Excepted application - right of return	Reg. 28	Schedule 2, 30, 35
Rows 14 & 29	Excepted application - emergency requiring the flexible provision of pharmaceutical services	Reg. 29	

Section 3(ii): Dispensing Appliance Contractors (DACs)

Please note: a figure of zero (0) or greater must be entered into each field of Section 3(ii).

Columns C through L relate to applications for pharmacy premises in controlled localities: reserve locations (Reg. 41(2)/42(1) [appealed under Reg. 45(1)(c)(d)]).

Columns M through Q relate to applications for pharmacy premises in non-controlled localities

Rows 1 to 12 New Premises

Rows 13 to 24 Additional Premises

The rows of Section 3(ii) relate to the following regulations:

Row	Description	Regulation (2012, 2013, 2014, 2015, 2016 regulations)	Appeal regulation (if different)
Rows 1 & 13	Routine application – current needs	Reg. 13(1), 13(2)	Schedule 2, 30, 35
Rows 2 & 14	Routine application – future needs	Reg. 15(2)	Schedule 2, 30, 35
Rows 3 & 15	Routine application – improvements or better access	Reg. 17(2)	Schedule 2, 30, 35
Rows 4 & 16	Routine application – future improvements or better access	Reg. 20(2)	Schedule 2, 30, 35
Rows 5 & 17	Routine application – unforeseen benefits	Reg. 18(2)	Schedule 2, 30, 35
Rows 6 & 18	Excepted application - relocation within a Health and Wellbeing Board area that does not result in significant change	Reg. 24	Schedule 2, 30, 35
Rows 7 & 19	Excepted application - relocation into a neighbouring Health and Wellbeing Board area that does not result in significant change	Reg. 24	Schedule 2, 30, 35
Rows 8 & 20	Excepted application - change of ownership	Reg. 26	Schedule 2, 30, 35
Rows 9 & 21	Excepted application - combined change of ownership and relocation that does not result in significant change	Reg. 24, 26	Schedule 2, 30, 35
Rows 10 & 22	Excepted application - temporary listing arising out of a suspension	Reg. 27	Schedule 2, 30, 35
Rows 11 & 23	Excepted application - emergency requiring the flexible provision of pharmaceutical services	Reg. 29	

Section 3(iii): Doctors

Please note: a figure of zero (0) or greater must be entered into each field of Section 3(iii).

Columns C through L relate to applications for new pharmacy premises in controlled localities: reserve locations (reg. 41(2)/42(1) [appealed under Reg. 45(1)(c)(d)]).

Columns M through Q relate to applications for pharmacy premises in non-controlled localities

Row 1 New Premises

Row 2 Additional Premises

All rows relate to applications made by doctors for outline consent, regulations 48(5), 50, 51, 53(11), 53(14), 54, 55, 57 & 58, and are appealed under reg. 63(1).

Section 4: Exemptions to Control of Entry Regulations

Please note: a figure of zero (0) or greater must be entered into each field of Section 4.

The total number of exempt category pharmacies open as at 31 March

Exempt Category Pharmacies under the NHS Pharmaceutical Services Regulations - Following the consultation with customers (see <http://content.digital.nhs.uk/pharmpubconsult> for details) it was agreed that information on all four exempt categories as laid out under the NHS Pharmaceutical Services Regulations 2005 would be collected. The information required is a count of each of the exempt categories open as at 31 March. The 4 exemptions are:-

1. 100 hour pharmacies
2. Out of town shopping development pharmacies
3. Distance selling pharmacies
4. One stop primary care centre

Please note distance selling pharmacies are the only exempt category that continued when the 2012 regulations were legislated and subsequently the 2013, 2014, 2015 and 2016 regulations.

The NHS Pharmaceutical Services Regulations 2005:
<http://www.legislation.gov.uk/uksi/2005/641/contents/made>

The NHS Pharmaceutical Services Regulations 2012:
<http://www.legislation.gov.uk/uksi/2012/1909/contents/made>

The NHS (Pharmaceutical Services and Local Pharmaceutical Services) Regulations 2013: <http://www.legislation.gov.uk/uksi/2013/349/contents/made>

The National Health Service (Pharmaceutical and Local Pharmaceutical Services) (Amendment and Transitional Provision) Regulations 2014:
<http://www.legislation.gov.uk/uksi/2014/417/made>

The National Health Service (Pharmaceutical and Local Pharmaceutical Services) (Amendment and Transitional Provision) Regulations 2015:
<http://www.legislation.gov.uk/uksi/2015/58/contents/made>

The National Health Service (Pharmaceutical and Local Pharmaceutical Services) (Amendment) Regulations 2016:
<http://www.legislation.gov.uk/uksi/2016/1077/contents/made>

Section 5: Supplementary Information

If the information provided in your PHS1 return relates to a period other than 1 April to 31 March of the reporting year please specify the period it relates to in the 'From' and 'To' fields, in dd/mm/yyyy format.

You can also provide comments regarding your return in this section.

Section 6: Provider details

Please provide the name, job title, email address, and phone number of the person(s) who:

1. Are the responsible person (contact) for the PHS1 return
2. Validated / signed off the data being submitted.

Contact Us

If you have any queries, please do not hesitate to contact:

For queries on the content:
Prescribing and Medicines Team
Email: pharmacyleeds@nhs.net

For queries on the technical aspects of the form, including submission:
Data Collections Team
Email: data.collections@nhs.net